

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Michael J. O'Phelan

Title: FLAT CAPACITOR HAVING AN ACTIVE CASE

Docket No.: 279.235US1

Serial No.: 09/706,517

Filed: November 3, 2000

Due Date: July 23, 2003

Examiner: Nguyen Ha

Group Art Unit: 2831

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450



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We are transmitting herewith the following attached items (as indicated with an "X"):

- A return postcard.
- An Amendment and Response Under 37 CFR 1.111 (13 pgs).
- A Supplemental Information Disclosure Statement (1 pg), Form 1449 (1 pg), and copies of 16 cited documents.
- A check in the amount of \$180.00 to cover the fee for consideration of Information Disclosure Statement under 1.97(c).
- A Petition for a One-Month Extension of Time (1 pg) and a check in the amount of \$110.00 to cover the extension of time fee.

**Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Peter C. Maki  
Atty: Peter C. Maki  
Reg. No. 42,832

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 25 day of August, 2003.

Name

Signature

**Customer Number 21186**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

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PATENT

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Applicant: Michael J. O'Phelan  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R.

• §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

• Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MICHAEL J. O'PHELAN

By his Representatives,

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08/28/2003 CCHAU1 0000003 09706517

02 FC:1806

180.00 OP

Date 8/25/03

By Peter C. Maki  
Peter C. Maki  
Reg. No. 42,832

• So, on this 25 day of August, 2003

Name

Signature

Substitute for form 1449A PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Complete if Known				
		<b>Application Number</b>	09/706517			
		<b>Filing Date</b>	November 3, 2000			
		<b>First Named Inventor</b>	O'Phelan, Michael			
		<b>Group Art Unit</b>	2831			
		<b>Examiner Name</b>	Ha, Nguyen			
Sheet 1 of 1		Attorney Docket No: 279.235US1				

### US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,554,178	09/10/1996	Dahl, R W., et al.	607	122	04/26/1995
	US-5,779,699	07/14/1998	Lipson, David	606	41	03/29/1996
	US-5,973,906	10/26/1999	Stevenson, Robert A., et al.	361	302	03/17/1998
	US-6,002,969	12/14/1999	Machek, et al.	607	122	08/05/1998
	US-6,040,082	03/21/2000	Haas, D. P., et al.	429	163	07/30/1997
	US-6,052,625	04/18/2000	Marshall, Mark T.	607	122	11/09/1998
	US-6,104,961	08/15/2000	Conger, Steven et al.	607	122	02/18/1999
	US-6,249,709	06/19/2001	Conger, Steven, et al.	607	122	02/18/1999
	US-6,256,542	07/03/2001	Marshall, Mark T., et al.	607	126	02/22/2000
	US-6,259,954	07/10/2001	Conger, Steve , et al.	607	122	02/18/1999
	US-6,275,729	08/14/2001	O'Phelan, Michael J., et al.	607	5	10/02/1998
	US-6,326,587	12/04/2001	Cardineau, Yan , et al.	219	121.68	07/30/1999
	US-6,493,212	12/10/2002	Clarke, Michael E., et al.	361	521	06/30/2000

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	WO-00/19470	04/06/2000	O'Phelan, M. et al.	H01 G	9/055	
	WO-98/27562	06/25/1998	Benz, Michael E., et al.	H01 G	4/18	

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

EXAMINER

DATE CONSIDERED